THE X-RAY DETECTABILITY OF ELECTRON BEAMS ESCAPING FROM THE SUN

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ABSTRACT

We study the detectability and characterization of electron beams as they leave their acceleration site in the low corona toward interplanetary space through their nonthermal X-ray bremsstrahlung emission. We demonstrate that the largest interplanetary electron beams $($ \gtrsim 10³⁵ electrons above 10 keV) can be detected in X-rays with current and future instrumentation, such as *RHESSI* or the X-Ray Telescope (XRT) onboard *Hinode*. We make a list of optimal observing conditions and beam characteristics. Amongst others, good imaging (as opposed to mere localization or detection in spatially integrated data) is required for proper characterization, putting the requirement on the number of escaping electrons (above 10 keV) to $\gtrsim 3 \times 10^{36}$ for *RHESSI*, $\gtrsim 3 \times 10^{35}$ for *Hinodel*XRT, and $\gtrsim 10^{33}$ electrons for the *FOXSI* sounding rocket scheduled to fly in 2011. Moreover, we have found that simple modeling hints at the possibility that coronal soft X-ray jets could be the result of local heating by propagating electron beams.

Key words: Sun: flares – Sun: particle emission – Sun: X-rays, gamma rays

Online-only material: color figures

1. INTRODUCTION

In the standard flare scenario, an acceleration site in the corona (a few Mm to a few tens of Mm above the photosphere) generates energetic electrons which propagate along magnetic field lines, either toward the lower corona*/*chromosphere or into interplanetary space. As they propagate, they lose energy via Coulomb collisions, and perhaps also via wave– particle interaction. Energy losses by bremsstrahlung or magnetobremsstrahlung are negligible at our energies of interest $(\approx 1 -$ 100 keV).

During close encounters with ambient ions, electrons emit hard X-rays (HXRs) by bremsstrahlung. There are numerous observations of HXR emission in the solar corona during flares (see, e.g., Dennis [1985\)](#page-11-0), but so far none of them have been successfully associated with beams of electrons propagating outward in the tenuous corona (e.g., Christe et al. [2008\)](#page-11-0). Unless particle trapping occurs (as is thought to occur in coronal sources, see Krucker & Lin [2008,](#page-11-0) and references therein), such observations are indeed difficult to make, given the small column densities electron beams encounter in the corona.

Two sets of independent observations support the existence of outward-going coronal electron beams. (1) Type III radio bursts, which occur simultaneously with HXR emission during the impulsive phase of the flare, and whose frequency decreases with time—these are interpreted as radiation caused by electron beams, which excite plasma emission in the increasingly tenuous coronal plasma as they travel outward (see, e.g., Dulk [1985;](#page-11-0) Bastian et al. [1998\)](#page-11-0). (2) Interplanetary electrons are detected in situ at 1 AU (e.g., Lin [1985\)](#page-11-0). Their onset can often be traced back to a flaring time, when their acceleration is thought to occur (Lin & Hudson [1971;](#page-11-0) Krucker et al. [2007b\)](#page-11-0).

Reconnection theory (ideal magnetohydrodynamics (MHD), e.g., Priest & Forbes [1986,](#page-11-0) and references therein) generally predicts that magnetic reconnection is symmetrical about the X-point: assuming that such reconnection is the mechanism responsible for particle acceleration, then it seems reasonable

to expect that the downward-going beam (that will stop in the chromosphere) and the upward-going beam (that will later escape into interplanetary space) have similar characteristics. Surprisingly, the number of escaping interplanetary electrons seem to be only about 0.1% – 1% that of the X-ray-producing electrons precipitating in the chromosphere (see, e.g., Lin & Hudson [1971;](#page-11-0) Krucker et al. [2007b\)](#page-11-0). Estimates on the number of electrons required to produce a radio type III burst are difficult to obtain. Wentzel [\(1982\)](#page-11-0) used a value of 10^{33} electrons in his discussion of possible theories of Type III bursts. Lin & Hudson [\(1971\)](#page-11-0) have reported 10^{33} – 10^{34} electrons above 22 keV for interplanetary beams (in situ observations), and Kane [\(1972\)](#page-11-0) showed from the upper limit on the flux of thintarget X-rays that less than 10^{34} above 22 keV were required to produce a strong Type III burst at 500 MHz. There is also evidence (see, e.g., Benz et al. [1982;](#page-11-0) Dennis et al. [1984\)](#page-11-0) that there exist a secondary acceleration site for Type-III-producing electrons, somehow triggered by the primary energy release: for example, through narrowband electromagnetic waves from the precipitating flare electrons (Sprangle & Vlahos [1983\)](#page-11-0), or through another, secondary, reconnection process high in the corona (Vršnak et al. [2003\)](#page-11-0).

The goal of this paper is to numerically estimate the amount (spatial and spectral distribution) of HXRs emitted by electron beams as they propagate, and determine the ability of various space-borne instruments, namely, *RHESSI* (Lin et al. [2002\)](#page-11-0), *Geostationary Operational Environmental Satellite* (*GOES*), *Hinode*/XRT (Golub et al. [2007\)](#page-11-0), and the upcoming *Focusing Optics X-ray Solar Imager* (*FOXSI*) ¹ rocket flight (which will use HXR focusing optics, see, e.g., Ramsey et al. [2000\)](#page-11-0), to observe and identify X-ray emission from such electron beams, at the moment that they exit the presumed acceleration site near the solar surface.

¹ *FOXSI* is a recently accepted rocket flight proposal under the "Low Cost Access to Space" (LCAS) NASA program, which will use grazing incidence mirrors to focus HXR.

2. FRAMEWORK

The injected (accelerated) beams of electrons are assumed to be power laws with a low-energy cutoff *E*1:

$$
F_0(E) = \begin{cases} (\delta - 1) \frac{F_1}{E_1} \left(\frac{E}{E_1} \right)^{-\delta} & , \quad E > E_1 \\ 0 & , \quad E < E_1. \end{cases}
$$
 (1)

The distribution $F_0(E)$ is expressed in electrons s⁻¹ keV⁻¹, δ is the spectral index, and F_1 is the total number of injected electrons per second above E_1 (same notations as in Brown et al. [2002\)](#page-11-0).

Saint-Hilaire & Benz [\(2002\)](#page-11-0) have associated the HXR emission from a flare (*GOES* class C9.6) with a beam of electrons propagating downward, toward the denser chromosphere, and, assuming a thick-target model (Brown [1971\)](#page-11-0), have found the following characteristics for the injected electron beam: $\delta = 4$, $E_1 = 10$ keV, and $F_1 = 2.7 \times 10^{36}$ electrons s⁻¹. Despite its relatively small X-ray thermal footprint, this flare was particularly hard and was even a gamma-ray line emitter. For comparison, the 2002 July 23 flare (*GOES* class X4.8) had an average electron flux of about 10^{35} electrons s^{−1} (electrons above 35 keV) during its \approx 15 minute long main impulsive phase (Holman et al. 2003), translating to about 10^{36} electrons above 10 keV ^s−¹ (using an averaged electron spectral index *^δ* of [≈]2.5). In situ and remote observations from Krucker et al. [\(2007b\)](#page-11-0) indicate that the number of electrons in interplanetary beams is $\approx 0.2\%$ of the number derived from the temporally associated HXR flare beams. This relationship was established for electrons *>*50 keV. Assuming it holds for energies down to 10 keV, this means that the interplanetary counterpart of the first flare beam has $F_1 \approx$ 1034 electrons s−¹ above 10 keV. We will henceforth call a *strong* beam a beam of electrons with $F_{1,\text{strong}} = 2.7 \times 10^{36}$ electrons s^{-1} and a *weak* beam one with $F_{1, \text{weak}} = 1.0 \times 10^{34}$ electrons s^{-1} ($F_{1,\text{weak}} \approx 0.0037 \times F_{1,\text{strong}}$). A *strong* beam is of the type usually associated with flares, whereas a *weak* beam is of the type usually associated with solar energetic particles (SEP). The HXR-producing electron beams in Krucker et al. [\(2007b\)](#page-11-0) had a typical duration of 100 s, and this is the duration that will be used throughout this paper, unless otherwise specified. For comparison, the 100 s typical duration leads to a total number of *weak* beam electrons above 10 keV of \approx 1 \times 10³⁶ electrons, or \approx 9 × 10³⁴ electrons above 22 keV, hence about an order of magnitude more than has been reported so far (e.g., Lin & Hudson [1971;](#page-11-0) Kane [1972\)](#page-11-0), but still below the 4×10^{36} electrons above 10 keV that would have come out of the 2002 July 23 flare, assuming that the $\approx 0.2\%$ relationship holds.

As in Brown et al. [\(2002;](#page-11-0) and using the same assumptions), the electron spectrum changes shape as it propagates, due to Coulomb energy losses, according to

$$
F(E, N) = \frac{E}{\sqrt{E^2 + 2KN}} F_0(\sqrt{E^2 + 2KN})
$$
 (2)

$$
= \begin{cases} (\delta - 1) \frac{F_1}{E_1} E_1^{\delta} E(E^2 + 2KN)^{-\frac{\delta + 1}{2}} & , E > \zeta \\ 0 & , E < \zeta, \end{cases}
$$
 (3)

where *N* is the electron column density traversed by the $\frac{\text{beam of electrons, } K}{\text{cm}^2}$ = 2.6 × 10⁻¹⁸ cm² keV², and *ζ* = $\sqrt{\max(0, E_1^2 - 2KN)}$ is the position of the low-energy cutoff after a column density *N* has been traversed.

The bremsstrahlung emission per unit column density along the path of propagation is (from Brown et al. [2002\)](#page-11-0)

$$
\frac{dI}{dN}(\varepsilon, N) = \frac{1}{4\pi D^2} \int_{\varepsilon}^{\infty} F(E, N) Q(\varepsilon, E) dE \tag{4}
$$

in photons/s/cm−2/keV, where *D* is 1 AU, *N* is the column density already traversed by the electron beam, and *Q*(*ε, E*) is the differential (for emitted photon energy *ε*) bremsstrahlung cross section.

Using the Kramers cross section yields an analytical solution to Equation (4) (Brown et al. [2002;](#page-11-0) Appendix [A\)](#page-8-0), but does not yield an accurate photon spectrum below the low-energy cutoff. Hence, numerical evaluations of $\frac{dI}{dN}$ using Equation (4) and the more proper nonrelativistic Bethe–Heitler differential bremsstrahlung cross section (see Appendix [A\)](#page-8-0) were used in order to cover the general case.

Finally, it must be mentioned that we will exclusively use an isotropic bremsstrahlung cross section. In reality, bremsstrahlung X-ray emission has anisotropic directivity. The exact details depend on the spectral shape of the electron energy distribution, the thickness of the target, the pitch angle distribution, the angles between the electron beam trajectory, the magnetic field and the observer, and the energy of the emitted X-rays. Elwert $\&$ Haug [\(1971,](#page-11-0) thin-target case) and Brown [\(1972,](#page-11-0) thick-target case, applicable in our case to low emitted photon energies: $\varepsilon \lesssim 10 \text{ keV}$) have both concluded a general limb brightening effect (for more recent work on the topic, see also Massone et al. [2004\)](#page-11-0). This limb-brightening effect is small at low energies (electron beams at the limb actually produce \approx 50% more 10 keV photons than the isotropic bremsstrahlumg cross section amount), and increases with photon energy (\approx 100% more 50 keV thin-target photons than if assuming an isotropic bremsstrahlung cross section). Hence, the effects of bremsstrahlung cross section anisotropy actually play in our favor, as we are mostly interested in electron beams beyond the solar limb.

3. NUMERICAL SIMULATIONS

The ambient density is the most important factor contributing to HXR fluxes from beams of electrons in the corona, besides the total number of electrons involved. It must be of a sufficiently high value.

Type III radio bursts, which are believed to be caused by electron beams through the bump-on-tail plasma instability and Langmuir wave conversion into EM waves, often start as high as 500 MHz, corresponding to a plasma density of \sim 3 × 109 cm−3. Start ambient densities for coronal*/*interplanetary electron beams can hence be inferred to be as high as this (and even higher, as the electron beam may propagate some distance beyond its injection site before conditions for plasma emission allow the generation of a Type III radio burst). When 10 times the standard Baumbach–Allen (Baumbach [1937\)](#page-11-0) coronal density structure is assumed (coronal streamers can be an order of magnitude (or more) denser than the quiet corona; Fainberg & Stone [1974\)](#page-11-0), this density of \sim 3 × 10⁹ cm⁻³ corresponds roughly to an acceleration altitude of 20 Mm (see Figure [1\)](#page-2-0). Unless otherwise specified, these are the numbers used in our calculations.

3.1. Spectra, Profiles, and RHESSI *Imaging*

From the injected beam characteristics and the atmospheric density profile, the spatially integrated photon spectra, *RHESSI*

Figure 1. From the transition region and below, densities from Fontenla et al. [\(1993;](#page-11-0) model "P") were used. The Fainberg & Stone [\(1974\)](#page-11-0) coronal density model is essentially the same as the Baumbach–Allen one, and, when near the solar surface, both are very similar to a barometric atmosphere with density scale height H_n = 10^{10} cm. As coronal streamers can be an order of magnitude denser, coronas with higher densities ($\times 10$, $\times 100$, $\times 1000$) were also considered. The red models are artificial composites, where the last data point in the Fontenla et al.'s "P" model is connected to the Baumbach–Allen coronal density at 69.6 Mm of altitude (0.1 solar radius).

count spectra, photon flux spatial profiles, and *FOXSI* count rate profiles, such as in Figure $2²$ can be calculated (a typical flare duration of 100 s was taken to compute the count rates).

In cases where the "down" beam is *weak* (green curve in Figure [2\)](#page-3-0) or nonexistent (such as when flare footpoints are occulted by the solar limb), a *strong* "up" beam (red curve in Figure [2\)](#page-3-0) should easily be observed in an *RHESSI* full-Sun spectrum and by *FOXSI*. A *weak* "up" beam (dark purple curve in Figure [2\)](#page-3-0) is barely noticeable in a *RHESSI* full-Sun spectra, but still well within *FOXSI* imaging capabilities.

RHESSI simulated imaging (the left column pair in Figure [3\)](#page-4-0) shows an elongated structure in the case of a *strong* "up" beam, but very little if the beam is *weak*. The situation improves only marginally in a denser corona (the right column pair of Figure [3\)](#page-4-0): the emissivity is indeed increased, but the spatial extent of the emission region is decreased, to a more localized source, as the column density traversed by the beam is thicker. Going to higher energies is usually of no help, as the fluxes are much smaller (see also Appendix [A\)](#page-8-0). It is easier to associate an elongated source as coming from a beam of electrons: a more compact source is more easily associated with an acceleration region or plasmoid with trapped particles. *FOXSI*, with its far better dynamic range (50" away from the main source, its side lobes are at the 10^{-3} level, as opposed to ≈ 0.1 with *RHESSI*, Christe [2007\)](#page-11-0), is much better equipped than *RHESSI* (a typical dynamic range of \approx 10) to discriminate between these two cases.

The "up" beam can clearly be imaged (as an elongated structure) by *RHESSI*if it is*strong*, and if the "down" component is *weak* or nonexistent (occulted). No such observations have been reported so far, leading to the conclusion that "up" beams may very well always be of the *weak* kind, i.e., with fluxes $\leq 10^{34}$ (electrons above 10 keV) s^{-1} . It also shows that in partially diskocculted events, coronal emission produced by a *strong* beam in a flare loop is easily observable by *RHESSI* (Krucker et al. [2007a;](#page-11-0) Krucker & Lin [2008\)](#page-11-0).

Because of instrumental side lobes, the presence of a nonocculted thermal coronal source, such as typically produced by a

flare loop, will completely mask any beams, even if spatially separated, in the case of *RHESSI*. Even *FOXSI*'s much reduced side lobes will only marginally allow it to observe *strong* beams, while *weak* beams most assuredly not (for quick comparison with top plots of Figure [2:](#page-3-0) the thermal flux generated at 10 keV by a typical flarelike 10 MK, 10^{49} cm⁻³ source is about 3 $\times 10^5$ photons s⁻¹ cm⁻² keV⁻¹).

3.2. GOES *Response*

Table [1](#page-5-0) displays the expected response of *GOES* (*GOES* 10, both X-ray channels) to the *nonthermal* bresstrahlung from our beams of electrons. Were the "up" beams of the *strong* kind, *GOES* should easily observe them. In the case of *weak* "up" beams, the emission can easily go unnoticed: it lies beneath the digitization level of the instrument (about A0.3 level).

For information, Table [2](#page-5-0) lists the temperature and emission measures that would be derived from the fluxes of Table [1,](#page-5-0) using *Solarsoft*'s two-filter ratio method. This method assumes an isothermal plasma and indicates temperatures of 20–23 MK. The emission measure results scale well with beam fluxes (*weak* being 0.0037 times the *strong*) for the Mewe code. For the Chianti code, there is a software limitation due to the fact that the code is not meant to deal with such low photon fluxes, resulting in inconsistent numbers: they were hence not displayed for the case of the *weak* beam. For comparison, Feldman et al. [\(1996\)](#page-11-0) find in their statistical study that solar flares with such high $(\approx 22 \text{ MK})$ temperatures have an X-ray class of about M3.0, i.e., emission from both *strong* (A4.4) and *weak* (A0.02), "up" coronal beams, if observed, would stand apart on a (temperature versus *GOES* X-ray class) plot (neglecting any local heating by the beams; see Section [3.4](#page-5-0) for a discussion).

3.3. Hinode*/XRT Response*

The new *Hinode*/XRT (Golub et al. [2007\)](#page-11-0) instrument has a whole suite of different filters. Figure [4](#page-5-0) shows the spatial profile from coronal electron beams observed with some of them. As can be seen in Figure [5,](#page-6-0) a *weak* "up" electron beam is observable with XRT, provided that a careful choice of image color scale is made.

² Much more at http://sprg.ssl.berkeley.edu/∼shilaire/work/ ebeam_June2007/wwwoutput/browser2.html.

Figure 2. For either *strong* (2.7 × 10³⁶ electrons s⁻¹) or *weak* (1.0 × 10³⁴ electrons s⁻¹) beams, going either "down" or "up," and for $E_1 = 10$ keV, the following were calculated. First row: full-Sun photon spectra, including a typical *RHESSI* "background" spectrum (red). Second row: full-Sun *RHESSI* count spectra, with typical *RHESSI* background. Third row: photon flux profiles. Fourth row: *FOXSI* count profiles. Left column: *δ* = 4. Right column: *δ* = 7. Statistical errors (barely noticeable on these graphs) have been included for both *RHESSI* count spectra and *FOXSI* count profiles, for which a 100 s accumulation time (a typical flare duration) and a 5 keV wide band centered around 7 keV were considered.

Figure 3. Imaged HXR from electrons beams (1 Mm in diameter), in the 5–9 keV band (optimal *RHESSI* sensitivity). Left column pair: using 10 × Baumbach–Allen coronal density model (typical in coronal streamers). Right column pair: using 100 x Baumbach-Allen coronal density model. Left column of each column pair: theoretical images. Right column of each column pair: *RHESSI* simulated image, using detectors 3–9 and the CLEAN algorithm (≈10 resolution). Yellow line: 50% contour, red lines: 25%, 75%, and 90% contours. Both "down" and "up" beams start at 20" altitude above the photosphere (solid white line), corresponding to a density of 3×10^9 cm⁻³ at the acceleration site for the left column pair, and 3×10^{10} cm⁻³ at the acceleration site for the right column pair. The "down" beam propagates to the left, toward the denser chromosphere, and the "up" beam to the right, toward the interplanetary medium. *Strong* refers to a flux of 2.7 [×] 1036 electrons s−1, and *weak* to a flux of 1.0×10^{34} electrons s⁻¹. A 30 s accumulation time was used for the *RHESSI* simulated images.

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Table 1 *GOES* 10 Response for LO*/*HI Channels (in W m−2)

Note.

^aThe beams have $\delta = 4$, $E_1 = 10$ keV.

Figure 4. Spatial profile of "up" and "down" electron beams, convoluted with two different *Hinode*/XRT filters: Be-thin (very similar to Ti-poly) in *black*, and Be-thick in *green*. Solid line: Strong "down beam," dotted line: strong "up" beam, dashed line: weak "up beam," and dot-dashed line: weak "down beam." The acceleration site is located $30''$ above the photosphere. The fact that the Be-thin response to a *weak* beam is similar to the Be-thick response to a *strong* beam is a coincidence.

(A color version of this figure is available in the online journal.)

Optimal conditions are as follows:

- 1. long exposures (*>*30 s),
- 2. thin filter (such as Be-thin), for their better spectral response, and
- 3. usage of appropriate image color scales, allowing for certain weak features to be revealed: even a weak beamlike feature can be distinguishable from the image noise or other dominant features because of its structure in the image (e.g., a straight line, etc.).

3.4. Effect of Beam Heating

This short section tries to estimate the effect of heating of the local corona by the nonthermal "up" beam: can the resulting X-ray thermal emission be greater than the X-ray nonthermal emission?

The nonthermal power lost in collisions can in principle heat the local medium: the amount of nonthermal power dumped along each path element can be calculated. Given a beam duration Δt , beam area, and in the absence of thermal losses (from either heat conductivity or thermal radiation), an upper limit to the temperature increase for the ambient corona along the path of the beam can be determined (mathematical details in Appendix C).

As a consistency check on the assumption of no thermal losses, the timescale for heat conductivity losses can be very

Note.

^aThe first case (first line) leads to a beam density of the same order as the ambient plasma, an unlikely situation. It has been kept for the sake of completeness.

roughly estimated (see details in Appendix [D\)](#page-10-0), using the density scale height of the heated medium. If this timescale is shorter than the beam duration, then heat losses should be included. The treatment presented in Appendix [D](#page-10-0) is best applied to a closed loop system, but was used as proxy for our case with an open field line, taking the loop length *L* to be the density scale height. In this treatment, the resulting temperature depends weakly on *L*, and our model was deemed sufficiently accurate to provide a rough upper limit of ambient temperature.

Figure [6](#page-7-0) (bottom) displays the thermal spectrum expected from our nonthermal beams of electrons, for both *weak* and *strong* cases, as well as for different beam areas: $(10^{16} \text{ cm}^2 \text{ is}$ about $1''$ radius, and 10^{18} cm² is for a beam with a radius of about 10" radius.) In the case of a *weak* beam, both conduction and radiative loss timescales were found to be greater than the duration of the beam's injection ($\Delta t = 100$ s). For the *strong* beam with a small section (the black dotted line in Figure [6\)](#page-7-0), the heat conduction energy loss timescale (Equation [\(D2\)](#page-10-0), taking *L* to be the barometric scale height $H_n = 10^{10}$ cm) was found to be many orders of magnitude smaller than Δ*t*, leading to the use of Equation [\(D4\)](#page-10-0) to better estimate the plasma temperature. Table 3 summarizes the temperatures and emission measures derived.

In the case of a *weak* "up" beam, it appears that the thermal emission should be negligible in comparison to the beam's nonthermal emission, at *RHESSI* energies (greater than 3 keV). At energies below \sim 3 keV, it is the thermal emission that is expected to dominate. This result is not very sensitive to the position of the low-energy cutoff (or else, including the total electron flux, being equal).

Figure 5. XRT Be-thin images (calibrated with the *Solarsoft* routine xrt_prep.pro) taken on 2007 October 25 00:00:24 (32.76 s exposure), a relatively quiet time (*GOES* X-ray level constant at the A7.3 level), with nonthermal X-ray emission (convoluted with the filter response) from two hypothetical electron beams added. The electron beams start at around (600,–700) and (100,–380), propagate to the right perpendicularly to the line of sight, have a diameter of 3", and have been truncated 300 Mm beyond their origin. Left: electron beams are of the *weak* kind (i.e., 1034 electrons s−¹ above 10 keV, over the 32.76 s exposure time). Right: electron beams are 10 times the *weak* kind (i.e., 10³⁵ electrons s⁻¹, over the 32.76 s exposure time). The basic image pixels span values between about 0 and 20 DN s⁻¹, but for easier identification of the beam features the color scale dynamic range has been chosen as follows. Left: 0–0.4 and right: 0–1.

A *strong* "up" beam with wide cross section behaves much the same as the *weak* beam cases. A *strong* "up" beam with small cross section, while very hot, is masked by the even stronger nonthermal emission.

To summarize, nonthermal emission is expected to prevail at energies above ∼3 keV. Below ∼3 keV, thermal emission is likely to dominate.

Both XRT on *Hinode* and SXT on *Yohkoh* observe below \sim 2 keV. This raises the very interesting possibility that the SXR emission from X-ray jets that are observed by XRT and previously by SXT, to which Type III radio bursts have been sometimes associated (Shibata et al. [1992;](#page-11-0) Aurass et al. [1994;](#page-11-0) Kundu et al. [1995;](#page-11-0) Raulin et al. [1996\)](#page-11-0), could very well be the direct result of such heating. X-ray jets on the Sun would then be expected to occur whenever coronal and interplanetary electron beams are observed. The temperatures (5 MK) and emission measures (10^{44} cm⁻³) obtained by Kundu et al. (1995) for their X-ray coronal jet are qualitatively near those of Table [3](#page-5-0) for the case of the *weak* beam with small cross section, further supporting this claim.

A careful search of observations for spatially and temporally correlated HXR, radio Type III, and X-ray jets has been initiated.

4. UPPER LIMITS FROM OBSERVATIONS

This section includes some observational facts to our discussion so far. The first part deals with the "coronal beam associated with X-ray jets" aspect that was suggested earlier, followed by a brief discussion on constraints imposed by the oft-observed lack of correlation between Type III radio bursts and X-ray emission.

4.1. X-ray Jets as Coronal Beams

As the previous discussion has suggested that X-ray jets might be associated with coronal electron beams, we have examined a few polar X-ray jets (Cirtain et al. [2007\)](#page-11-0) observed with *Hinode* XRT long-exposure images. Nine have been observed in the period UT 2007 March 11 21:00 to UT 2007 March 12 06:00, near the solar limb (but not occulted). In none of these cases were any X-ray flux enhancements observed with *RHESSI*, or *GOES*.

- 1. Table [4](#page-7-0) gives the amount of electron that an *up* beam must contain in order to be detectable in spatially integrated *RHESSI* Observing Summary count rates (Schwartz et al. [2002\)](#page-11-0). The absence of any clear observation puts the upper limit on the total number of electrons in a coronal beam to 0.6×10^{35} electrons above 10 keV (3 σ detection level) over short timescales of a few seconds, and 4.3×10^{35} electrons on timescales of a few minutes.
- 2. The *GOES* low and high channels remained also flat. For detection by visual inspection of the *GOES* light curves, an increase of \approx 2 × 10⁻⁹ W m⁻² in the low (1–8 Å) channel was required over a 3 s time bin (rough estimate). This corresponds (see Table [1\)](#page-5-0) to 6×10^{35} electrons above 10 keV (1.5 \times 10³⁶ electrons in the high (0.5–4 Å) channel).
- 3. One of the X-ray jets (UT 2007 March 12 05:18) lasted about 5 minutes. *RHESSI* imaging over this 5 minute interval yields no reliable image in the 3–6 or 6–12 keV bands. As any *RHESSI* source typically needs at least \approx 300 counts detector⁻¹ (empirical value) to be successfully characterized, this translates into a needed count rate of approximately 1 counts s^{-1} detector⁻² over that time interval. This puts the needed number of electrons for good imaging to 1.2×10^{37} electrons above 10 keV for the 3–6 keV band and 3×10^{36} electrons for the 6–12 keV band.

Overall, *RHESSI* light curves are more sensitive than *GOES* light curves, and further indicate that observed X-ray jets did not expel more than 0.6×10^{35} electrons above 10 keV on timescales

Figure 6. Top: profile of the nonthermal power left in a *strong* "up" beam, as it propagates through the corona. Scale by 0.0037 for the *weak* beam counterpart. Bottom: resulting nonthermal and estimated thermal emissions (see Section [3.4\)](#page-5-0). Two different beam sections *S* were used to evaluate the plasma thermal response. Solid lines are the nonthermal spectra. The dotted (about 1["] beam radius) and dashed (about 10" beam radius) lines are thermal spectra determined from beam coronal heating. Black pertains to the strong beam, green to the weak beam.

of a few seconds, and no more than \approx 5 \times 10³⁵ electrons above 10 keV over timescales of a few minutes.

From inspection of Figure [2](#page-3-0) (bottom left), about 10^{33} electrons are required for *FOXSI* imaging.

In cases where the acceleration site is at lower densities than our start density of 3×10^9 cm⁻³ (a typical high value), these upper limits get proportionally higher. For example, if the start density is 3×10^8 cm⁻³, the upper limit on the number of accelerated electrons is increased tenfold.

4.2. Type III Radio Bursts from Coronal Beams

The detection thresholds from the previous section can be used again: for X-ray detection through *RHESSI* light curves, a coronal electron beam would require about 0.6×10^{35} electrons above 10 keV over 4 s, or about 4×10^{35} electrons above 10 keV over a few minutes. *RHESSI* characterization by imaging requires at least 3×10^{36} electrons above 10 keV.

The fact that no clear spatial and temporal correlation of Type III radio burst and nonthermal X-ray emission beyond the limb has ever been established is already an indicator that electron beams must have typically less than these numbers of electrons. A systematic search using data from the Nançay Radioheliograph and *RHESSI* will be initiated shortly. The best case so far of such an event has been discussed in Krucker & Lin [\(2008\)](#page-11-0), and mentioned briefly in the conclusion.

5. SUMMARY AND CONCLUSION

- 1. *Strong* escaping ("up") beams, i.e., with fluxes comparable to the usual chromospheric HXR-producing flare electrons $(\gtrsim 10^{38}$ electrons above 10 keV), should easily be detectable and imageable with *RHESSI*, provided any chromospheric footpoint is occulted. The absence of such observations supports the scenario established so far, i.e., that escaping electrons are fewer in number than a few tenths of a percent of those hitting the chromosphere. This in turns hints at asymmetries in the overall standard acceleration scenario. Possible explanations can range from (*a*) the presence of a "collapsing trap" mechanism (as described, e.g., in Karlicky´ & Kosugi [2004\)](#page-11-0) that enhances the number of accelerated flare electrons, but not the escaping electrons on open field lines, (*b*) the possibility that the main acceleration actually takes place elsewhere than in the high corona, such as in the footpoints, as suggested by Fletcher & Hudson [\(2008\)](#page-11-0), (*c*) the possibility that escaping electron beams are a secondary energy release phenomenon, triggered by electromagnetic waves from the flare electrons (Sprangle & Vlahos [1983\)](#page-11-0), or (*d*) the presence of a secondary reconnection process higher up in the corona, where particle densities are much lower, connecting to open field lines (see, e.g., Vršnak et al. [2003\)](#page-11-0).
- 2. *GOES* is not expected to observe anything of note from *weak* beams (beams with $\leq 10^{36}$ electrons above 10 keV). Escaping *weak* beams appear to be just below *RHESSI*'s imaging capabilities (even with footpoints occulted), marginally within *Hinode*/XRT's imaging capabilities, but well within *FOXSI*'s. For XRT, thin filters and long exposures are required, as is a careful choice of image dynamic range. A systematic search of the XRT data, particularly those with long exposure times is currently underway: for the year 2007, XRT was observing near the solar limb (partial disk images, with image center $>600''$ from the Sun center) with thin filters and long exposures (*>*30 s)

Table 4

Required *RHESSI* Observing Summary Count Rates (Counts s⁻¹ Per Detector) above Background to Detect an "Up" Electron Beam (in the Absence of Any Other Emission), and Corresponding Number of Beam Electrons

Note. The typical background count rates for the 3–6 keV band and the 6–12 keV bands are ≈6 and ≈15 counts s⁻¹ detector⁻¹, respectively.

 0.65% of the time. NOAA³ reports about 500 different Type III bursts during 2007 (a very quiet year). Assuming that they were produced by beams of electrons that were 30 s long, it means that Type-III-producing electron beams occur 0.05% of the time. The probability for simultaneous occurrence of a Type III burst and XRT long-exposure observation with a thin filter is hence $p \approx 3 \times 10^{-6}$. Between the start of the *Hinode* mission, and the end of 2008 January, about $n = 6000$ long-exposure pictures with thin filters were taken by XRT. The chance of there being at least one electron beam caught within that sample can be hence estimated to be $1 - (1 - p)^n \approx np \approx 2\%$, i.e., we probably have not observed it.

- 3. Coronal emission due to beam heating is not strong enough to mask the nonthermal bremsstrahlung emission of the upgoing beam at energies above 3 keV (i.e., in the energy ranges of *RHESSI* and *FOXSI*). With an instrument such as XRT, a rough estimation leads us to expect that the thermal emission might indeed mask the nonthermal emission.
- 4. We have raised the possibility that SXR jets might be the result of local heating by the propagating coronal*/* interplanetary electron beams. This is consistent with the fact that interplanetary electron beams have been found to be temporally correlated with SXR plasma jets (Wang et al. [2006;](#page-11-0) Pick et al. [2006;](#page-11-0) Nitta et al. [2008\)](#page-11-0). On the other hand, no nonthermal HXR emission has ever been spatially associated with those SXR jets, probably due to lack of sensitivity.
- 5. The absolute minimum amounts of electrons needed for X-ray *detection* and for *characterization through imaging* are (assuming optimal start densities and minimal backgrounds) as follows:
	- $a) \gtrsim 10^{35}$ electrons above 10 keV: for detection (and localization via coarse imaging, to the \approx arcminute level) by *RHESSI*,
	- b) $\gtrsim 6 \times 10^{35}$ electrons above 10 keV: for detection by *GOES*,
	- $c) \geq 3 \times 10^{35}$ electrons above 10 keV: for imaging by *Hinode*/XRT,
	- $d) \ge 3 \times 10^{36}$ electrons above 10 keV: for imaging by *RHESSI* (with sufficient statistics to observe structures to the \approx 10" level), and
	- $e) \gtrsim 10^{33}$ electrons above 10 keV: for imaging by *FOXSI* (180 cm² effective area detector assumes zero background).

Appendix E is a list of optimal observations for identification and characterization of escaping coronal electron beams.

An order of magnitude estimate on the expected number of beams with enough electrons to be characterized through *RHESSI* X-ray imaging can be done as follows: assuming that ≈10% of the ≈120 electron events per year that *WIND* observes around solar maximum produce $\approx 10^{34}$ electrons above 22 keV, or \approx 10³⁵ electrons above 10 keV, this leads to \approx 12 events with \gtrsim 10³⁵ electrons above 10 keV yr⁻¹. Using the 1.4 power-law negative spectral index found in peak interplanetary electron flux distributions (P. H. Oakley 2008, private communication), this leads to an estimate of about 0.5 interplanetary beams with $\gtrsim 10^{36}$ electrons above 10 keV per solar-maximum year. With three spacecrafts (*WIND*, *STEREO A* and *B*), the expectation becomes 1.5 per solar-maximum year. Periods when occulted

³ [ftp://ftp.ngdc.noaa.gov/STP/SOLAR_DATA/](ftp://ftp.ngdc.noaa.gov/STP/SOLAR_DATA/SOLAR_RADIO/SPECTRAL/SPEC_NEW.07)

flares can be observed from the Earth and a spacecraft with in situ instruments magnetically connected to its escaping particles are around the middle of 2009 with *STEREO A* and around 2014 with *STEREO B*.

The best case published so far of an observation of a coronal electron beam, using *RHESSI* and XRT data, has been discussed in Krucker et al. [\(2008\)](#page-11-0). Yet, the XRT coverage was not optimal, no radio imaging was available, and, most importantly, the number of electrons in the interplanetary beam was at least an order of magnitude below what was inferred from the coronal X-ray emission (3 \times 10³³ versus 10³⁴-3 \times 10³⁶ electrons above 20 keV). The author argue that the in situ measurements, which sample only a very small fraction of the beam's breadth, might make erroneous assumptions on its spatial distribution, and that in reality many more escaping electrons could be present.

With the latest additions to the fleet of Sun-observing spacecraft (*STEREO*, *Hinode*, *SDO*) and the solar activity rising, it is expected that several such events will be sufficiently observed. Future spacecraft missions in the inner heliosphere (*Solar Orbiter*, *Sentinels*, *Solar Probe*) will provide regularly such observations at much higher sensitivity. The scheduled 5 minute *FOXSI* rocket mission will have the dynamic range and sensitivity required to images faint X-ray emission from outgoing electron beams, but the chance of observing a radio type III burst during a 5 minute flight is close to zero. A future space mission with a focusing optics telescope dedicated to solar observations, however, would revolutionize our understanding of electron acceleration in solar flares. The *Nuclear Spectroscopic Telescope Array* (*NuSTAR*) Small Explorer satellite (Harrison et al. [2005\)](#page-11-0), to be launched in 2011, uses HXR focusing optics for astrophysical observations with an effective area of 1000 cm2. With a solar mission of similar size as *NuSTAR*, HXR emission from escaping electron beams will be generally detected with excellent statistics allowing us to spectrally image the electron acceleration region and trace electron beams from their acceleration region down to the chromosphere as well as into interplanetary space.

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Facilities: RHESSI, *Hinode* (XRT), *GOES*, *FOXSI*

APPENDIX A

X-RAY SPATIAL EMISSION PROFILES

Using Kramers' simplified differential bremsstrahlung cross section $Q(\varepsilon, E) = \bar{Z}^2 \frac{\kappa_{\text{BH}}}{\varepsilon E}$ in Equation [\(4\)](#page-1-0), one arrives at

$$
\frac{dI}{dN}(\varepsilon, N) = (\delta - 1) \frac{F_1}{E_1} \bar{Z}^2 \frac{\kappa_{\text{BH}}}{8\pi D^2} \frac{1}{\varepsilon} \left(\frac{2KN}{E_1^2}\right)^{-\delta/2}
$$

$$
\times B\left(\frac{1}{1+u}, \frac{\delta}{2}, \frac{1}{2}\right), \tag{A1}
$$

where $\overline{Z}^2 \approx 1.44$ in the corona, $\kappa_{\text{BH}} = \frac{8}{3} \alpha r_e^2 m_e c^2 = 7.9 \times 10^{-10} \text{ m}$ 10^{-25} cm² keV, $B(x, a, b)$ is the incomplete beta function, and

$$
u = \frac{1}{2KN} \quad \max\left(\varepsilon^2, E_1^2 - 2KN\right). \tag{A2}
$$

[SOLAR_RADIO/SPECTRAL/SPEC_NEW.07](ftp://ftp.ngdc.noaa.gov/STP/SOLAR_DATA/SOLAR_RADIO/SPECTRAL/SPEC_NEW.07)

Figure 7. Photon flux per unit column density as a function of traversed column density *N*. The initial electron beam had $\delta = 4$ and 2.7×10^{36} (electrons above 10 keV, per second). Solid lines: no cutoff dotted lines: low-energy cutoff at 10 keV. $E_* = \sqrt{2KN}$, with $K = 2.6 \times 10^{-18}$ cm² keV², is the initial electron energy that a column density *N* brings to a stop.

Similar to Brown et al. [\(2002\)](#page-11-0), except that our formula provides for the emission below a low-energy cutoff.

In the absence of low-energy cutoff $(E_1 = 0)$, or at least as long as $\varepsilon^2 \ge E_1^2 - 2KN$, we have:

$$
\frac{dI}{dN}(\varepsilon, N) \approx (\delta - 1) \frac{F_1}{E_1} \bar{Z}^2 \frac{\kappa_{\rm BH}}{8\pi D^2} \times E_1^{\delta} \begin{cases} \varepsilon^{-1} \cdot (2KN)^{-\delta/2} \cdot B(\frac{\delta}{2}, \frac{1}{2}) & , u \ll 1 \\ \varepsilon^{-\delta - 1} & , u \gg 1 \end{cases}
$$
\n(A3)

where $B(a,b)$ is the Beta function. That is, the emissivity at a certain photon energy ε is constant along the path of the beam, until *u* decreases to \approx 1, i.e., $\varepsilon \approx \sqrt{2KN}$, after which it falls rapidly with increasing *N*, as shown in Figure 7.

Using the Kramers cross section however does not yield a wholly accurate photon spectrum for $\varepsilon < E_1$ (Figure 8). Hence, numerical evaluations of $\frac{dI}{dN}$ using Equation [\(4\)](#page-1-0) and the more proper nonrelativistic Bethe–Heitler differential bremsstrahlung cross section (3BN(a) of Koch & Motz [1959;](#page-11-0) Brown, [1971\)](#page-11-0):

$$
Q(\varepsilon, E) = \bar{Z}^2 \frac{\kappa_{\rm BH}}{\varepsilon E} \ln \left(\frac{1 + \sqrt{1 - \varepsilon/E}}{1 - \sqrt{1 - \varepsilon/E}} \right) \tag{A4}
$$

needs to be used, in order to cover all possible cases.

APPENDIX B

NUMBER OF NONTHERMAL PARTICLES REMAINING IN BEAM

The number of electrons above the reference energy *E*ref in a beam that has already traversed a column depth *N* is given by

$$
N_{\rm nth}(N) = \int_{E_{\rm ref}}^{\infty} F(E, N) dE, \tag{B1}
$$

Figure 8. Photon flux per unit column density, for the typical strong beam (δ = $4, E_1 = 10 \text{ keV}, F_1 = 2.7 \times 10^{36} \text{ e}^{-1}$, at injection ($N = 0$, black), and after it has traversed a column density $N = 8 \times 10^{19}$ cm⁻² (gray, stopping all electrons of initial energies below $E_* = 20 \text{ keV}$). Solid lines: using the nonrelativistic Bethe–Heitler (NRBH) cross section; dashed lines: using the Kramers cross section.

where $F(E, N)$ is as given by Equation (3) , resulting in

$$
N_{\rm nth}(N) = F_1 E_1^{\delta - 1} (\xi^2 + 2KN)^{(1 - \delta)/2},
$$
 (B2)

where $\xi = \max(\zeta, E_{\text{ref}})$.

For the case where $E_{\text{ref}} = 0$ (i.e., all electrons in the beam), Equation (B2) amounts to

$$
N_{\rm nth}(N) = \begin{cases} F_1 & , \sqrt{2KN} \leqslant E_1 \\ F_1 \cdot \left(\frac{E_1}{\sqrt{2KN}}\right)^{\delta-1} & , \sqrt{2KN} \geqslant E_1 \end{cases} . (B3)
$$

As can be seen in Figure 9, a beam has lost *at least* 90% of its electrons by the time it has traversed three times the column density required to stop electrons starting at its lowenergy cutoff.

Figure 9. Fraction of the initial number of electrons remaining in the beam, after a column density *N* has been traversed, for different injected beam spectral indices δ . *E*_c is the injected beam's low-energy cutoff, and $E_* = \sqrt{2KN}$, with *N* being the column density traversed and $K = 2.6 \times 10^{-18}$ cm² keV².

APPENDIX C

PLASMA HEATING BY NONTHERMAL BEAMS OF ELECTRONS: LOSSLESS CASE

The nonthermal power in a beam, at any point along its path, $P_{\text{nth}}(N)$, can be computed numerically:

$$
P_{\rm nth}(N) = \int_0^\infty E \cdot F(E, N) dE.
$$
 (C1)

The nonthermal power loss per unit length *dz*, along the path of the beam, is computed as $-\frac{dP_{\text{nth}}}{dz}$, and is the same as the heat dumped per unit length in the corona along the path of the beam. Assuming no losses, the temperature of the corona, along the path of the beam, can be estimated to be

$$
k_{\rm B}T(z) = k_{\rm B}T_0 + \frac{\Delta t}{3 \cdot S \cdot n_e(z)} \left(-\frac{dP_{\rm nth}}{dz}(z) \right),\tag{C2}
$$

where *S* is the area of the beam, Δt is the duration of the injection, $n_e(z)$ is the local electron density, and $T_0 \approx 2$ MK is the initial temperature of the corona.

The differential emission measure, along the path of the beam, is *dEM*(*z*)

$$
\frac{dEM(z)}{dz} = n_e^2(z) \cdot S. \tag{C3}
$$

Both Equations ($C2$) and ($C3$) can be used to compute the total thermal spectrum generated by beam heating, by integrating over the whole path of the beam (or at least the portion within the instrument's field of view). This has been done in Section [3.4.](#page-5-0)

APPENDIX D

PLASMA HEATING BY NONTHERMAL BEAMS OF ELECTRONS: SCENARIO WITH CONDUCTIVE LOSSES

The problem is carried a little bit further than was in Appendix C: the energy flux due to heat conduction in the direction parallel to the magnetic field is

$$
j_Q = \kappa \frac{dT}{dz},\tag{D1}
$$

where $\kappa = \alpha T^{5/2}$ keV s⁻¹ K⁻¹ cm⁻¹ is the Spitzer conductivity (Spitzer [1962;](#page-11-0) Benz [1993\)](#page-11-0), with $\alpha = 537.5 \text{ keV s}^{-1} \text{ cm}^{-1}$ $K^{-7/2}$. Heat conduction perpendicular to the magnetic field is negligible.

Replacing j_Q by $\frac{1}{S} \frac{dE_{th}}{dt} \approx \frac{1}{S} \frac{E_{th}}{\tau_{cond}} \approx \frac{1}{S} \frac{3k_B T n_e V}{\tau_{cond}}$, and $\frac{dT}{dz}$ by $\frac{T}{L}$, where *L* ans *S* are respectively, the length and section of the flux tube, $V = SL$ is the volume, n_e is the average electron density, and k_B is Boltzmann's constant, one finds the heat conductivity energy loss timescale:

$$
\tau_{\text{cond}} = \frac{3k_{\text{B}}}{\alpha} \frac{n}{T^{5/2}} L^2. \tag{D2}
$$

In a volume $V = SL$, at equilibrium, the amount of thermal energy loss due to heat conductivity is the same as the amount of nonthermal power dumped:

$$
P_{\text{nth}} = \frac{E_{\text{th}}}{\tau_{\text{cond}}} \tag{D3}
$$

(assuming $\tau_{\text{cond}} \ll \tau_{\text{rad}}$, the radiative loss timescale, which is usually the case in hot flare plasmas near the impulsive phase of the flare).

Hence

$$
T_{\text{eq}} = \left(\frac{P_{\text{nth}}}{\alpha} \frac{L}{S}\right)^{2/7}.
$$
 (D4)

Note that this equilibrium temperature T_{eq} is independent of density and filling factor, and that a factor $\dot{2}$ error on any one parameter translates into a 22% error in *T*eq. For example, taking $P_{\text{nth}} = \frac{\delta - 1}{\delta - 2} F_1 E_1 = 6.5 \times 10^{28} \text{ erg s}^{-1} = 4 \times 10^{37} \text{ keV s}^{-1}, S =$ 10^{17} cm², and $L = 10^9$ cm, one finds $T_{eq} = 47.6$ MK, or $k_B T_{eq}$ $= 4.2$ keV.

APPENDIX E

REQUIREMENTS FOR UNAMBIGUOUS IDENTIFICATION OF X-RAY EMISSION FROM ELECTRON BEAMS FLOWING INTO INTERPLANETARY SPACE

The following is a list of requirements that should be ideally fulfilled in order to be able to find and characterize such beams.

- 1. *Minimum number of electrons over 10 keV (typically over a* ∼*10–1000 s accumulation interval*). *RHESSI*: 3×10^{36} ; *Hinode/XRT*: 3×10^{35} (assuming the spectral contribution of beam heating is negligible); *FOXSI*: 10^{33} (assuming zero background). These numbers are for very careful examination of the data. Multiply these requirements by ≈3 for casual searches.
- 2. *X-ray emission above the solar limb*. Projection effects are expected to be less important, allowing an easier characterization of observed features. Moreover, the flare footpoint emission from the "down" beam, if any, should be occulted, to limit its masking effects on the weaker emission from the electron beam. This last requirement is not as important for *FOXSI* as it is for *RHESSI*: *FOXSI*'s side lobes are below the 10^{-3} level 50" away from the main source (Christe [2007\)](#page-11-0), as opposed to ≈0.1 for *RHESSI*.
- 3. *Elongated X-ray image*. If observing at the limb, and assuming a more-or-less radial propagation, X-ray imaging should display an elongated source in the radial direction. More compact sources could be assimilated to other origins, such as a plasmoid, or even a current sheet (Bemporad et al. [2006\)](#page-11-0). Ideal acceleration site density for *RHESSI* is around 3×10^9 cm⁻³—this ensures source elongation at the energies where *RHESSI* is the most sensitive (6–10 keV).
- 4. *Nonthermal X-ray spectrum*. The X-ray emission should have a nonthermal spectrum, from which beam characteristics (spectral index and particle number) can be extracted and compared to in situ measurements.
- 5. *In situ electron spectrum*. An in situ instrument, such as on board *WIND* or *STEREO*, should be magnetically connected to the flare, in order for them to detect the expelled electron beams. The extracted electron beam characteristics (spectral index, particle number) should be compared to those deduced from X-ray emission (as in, e.g., Lin & Hudson [1971;](#page-11-0) Krucker et al. [2007b\)](#page-11-0).
- 6. *Metric/decimetric Type III radio emission, cotemporal and cospatial with the nonthermal X-ray emission*. While not absolutely necessary, tracking an escaping electron beams's Type III radio emission (as was done with the Nancay Radioheliograph in, e.g., Paesold et al. [2001\)](#page-11-0) would of course strengthen the case. The upcoming Frequency Agile

Solar Radiotelescope (FASR; Bastian 2003) will provide such information with unprecedented coverage. At lower frequencies, $LOFAR⁴$ could be used.

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